

**Mitigate the risk
between different
roles and responsi-
bilities.**

**Safeguard your
assets.**

**Prevent fraud or
theft.**

**An SoD Tool to
manage risk.**

SEGREGATION OF DUTIES

FOR SYSPRO



THE ENTERPRISEWORX WAY

We think differently, that is our unique differentiator. Yes, we do IT stuff but it is how we think that makes our solutions fit perfectly within your organisation. EWX has been around for over 15 years, being a Gold Microsoft Partner since inception. We take pride in the connections and solutions we build. We think outside the box and create sustainable solutions for your organisation.

SEGREGATION OF DUTIES

Imagine that all the internal processes of your organisation were all in the hands of one person! This person possesses the ability to approve invoices, change banking details, credits, move stock as they please. How will you know?

Segregation of Duties (SoD) is the process where the responsibilities of key processes are shared across more than one person in a department. Without this separation you increase the risk of fraud and error risks.

The goal of the SoD tool EnterpriseWorx developed is to prevent and mitigate these risks by increasing the visibility on these potential risks and fraud incidents. It highlights the unilateral actions within your organisation that could have irreversible effects.

ROLES AND RESPONSIBILITIES

Risks can be maintained and managed by viewing the key roles and responsibilities per organisational resource. Roles and responsibilities are closely coupled to mitigating the collusion risk associated to each department and individual.

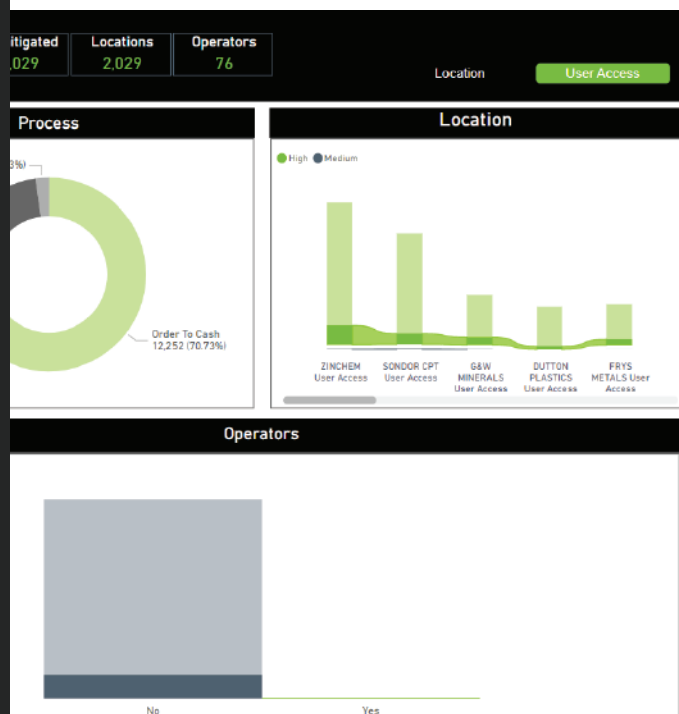
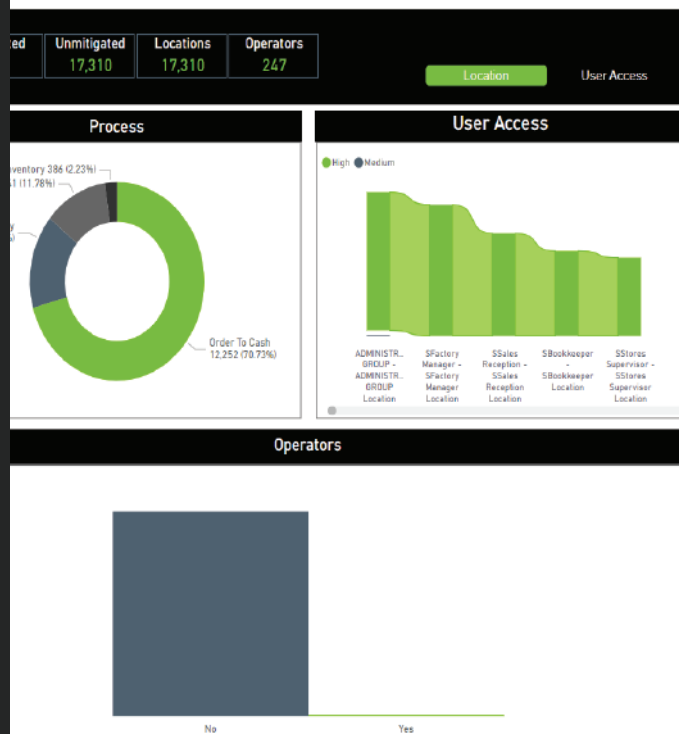
Our SoD Tool provides the critical insight into these potential risks within your organisation. Ranked from low to high according to the violation risk, our SoD tool assists you in ensuring there is enough oversight and review to raise a flag.

LOCATION

With your organisation operating in multiple locations, our SoD tool provides the insight into mitigating the potential violations at each location.

The violations are ranked from low to high indicative of which locations have the lowest to highest number of possible violations. These can be drilled through to provide an in-depth view of the organisation across the globe.

The required action can be taken in each of these locations and roles to prevent the risk.



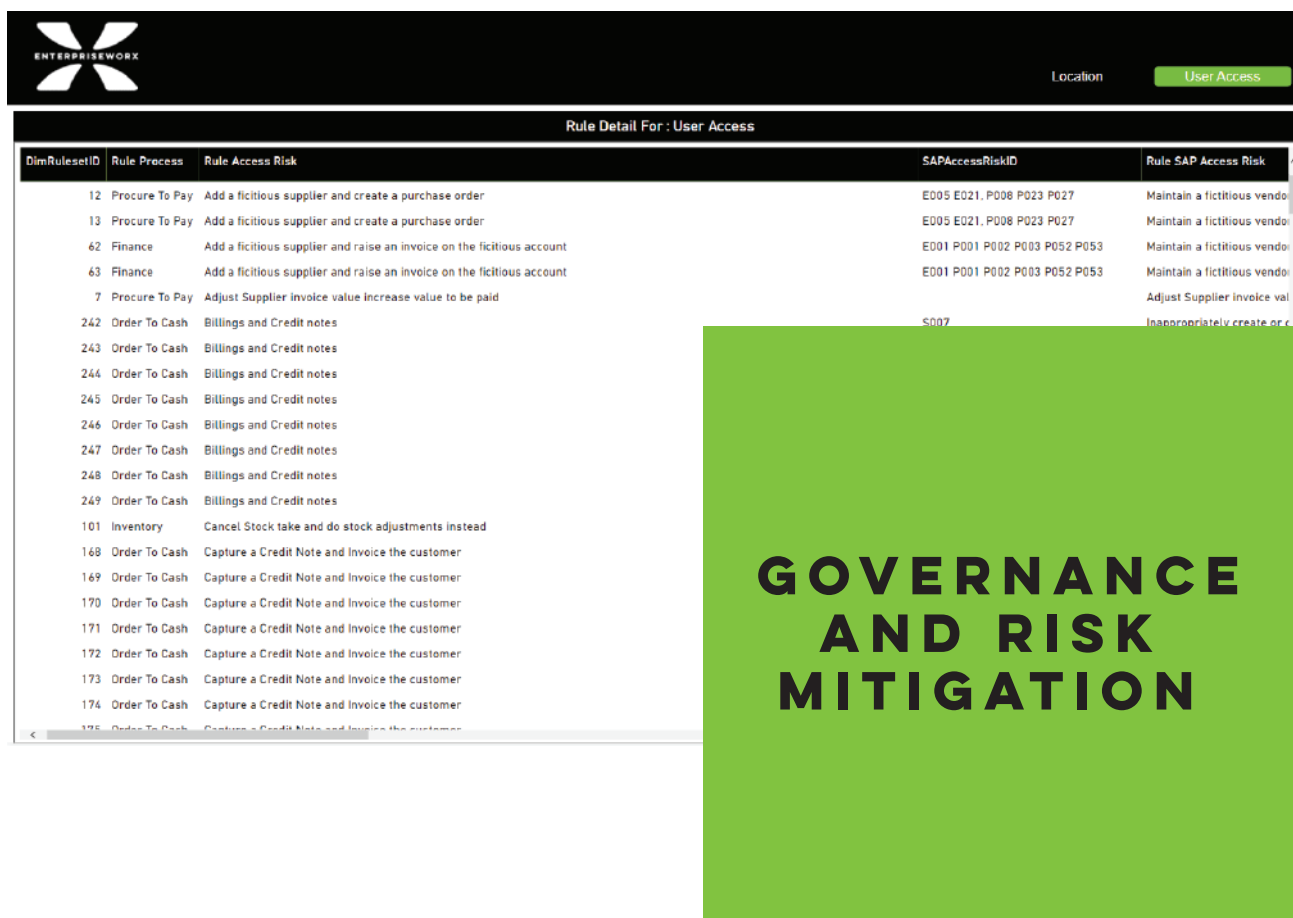
RULE DETAILS

Want to know which rules and processes are being violated? With our SoD tool we can drill-down to these finer details and view these violations from location to User Access.

Which Rules were violated and in which location, along with the Syspro activities associated to it!

Wouldn't you like to know the potential of risks that exist at a certain branch when the same person receiving the stock is also the same person that can adjust quantities of stock.

Mitigate these violations before they occur by ensuring that the correct processes are followed by granting the necessary access only to those who need it!



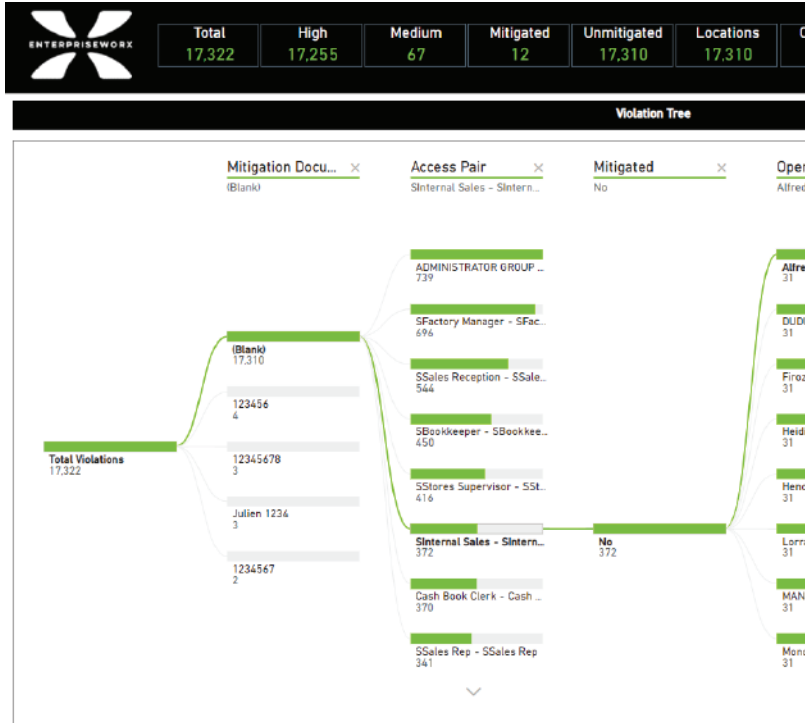
ENTERPRISEWORK

Location User Access

Rule Detail For : User Access

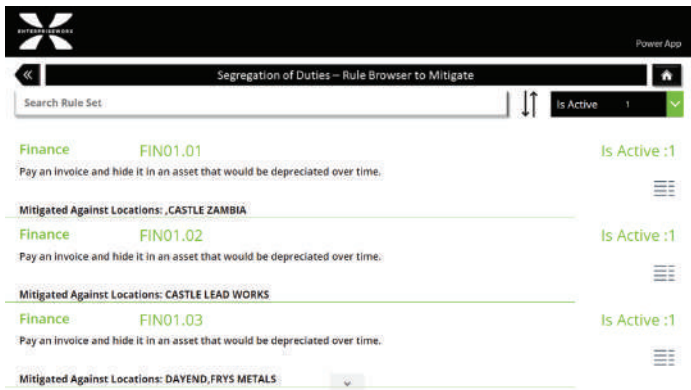
DimRulesetID	Rule Process	Rule Access Risk	SAPAccessRiskID	Rule SAP Access Risk
12	Procure To Pay	Add a fictitious supplier and create a purchase order	E005 E021, P006 P023 P027	Maintain a fictitious vendor
13	Procure To Pay	Add a fictitious supplier and create a purchase order	E005 E021, P006 P023 P027	Maintain a fictitious vendor
62	Finance	Add a fictitious supplier and raise an invoice on the fictitious account	E001 P001 P002 P003 P052 P053	Maintain a fictitious vendor
63	Finance	Add a fictitious supplier and raise an invoice on the fictitious account	E001 P001 P002 P003 P052 P053	Maintain a fictitious vendor
7	Procure To Pay	Adjust Supplier Invoice value increase value to be paid		Adjust Supplier Invoice value
242	Order To Cash	Billings and Credit notes	S007	Inappropriately create or c
243	Order To Cash	Billings and Credit notes		
244	Order To Cash	Billings and Credit notes		
245	Order To Cash	Billings and Credit notes		
246	Order To Cash	Billings and Credit notes		
247	Order To Cash	Billings and Credit notes		
248	Order To Cash	Billings and Credit notes		
249	Order To Cash	Billings and Credit notes		
101	Inventory	Cancel Stock take and do stock adjustments instead		
168	Order To Cash	Capture a Credit Note and Invoice the customer		
169	Order To Cash	Capture a Credit Note and Invoice the customer		
170	Order To Cash	Capture a Credit Note and Invoice the customer		
171	Order To Cash	Capture a Credit Note and Invoice the customer		
172	Order To Cash	Capture a Credit Note and Invoice the customer		
173	Order To Cash	Capture a Credit Note and Invoice the customer		
174	Order To Cash	Capture a Credit Note and Invoice the customer		
175	Order To Cash	Capture a Credit Note and Invoice the customer		

GOVERNANCE AND RISK MITIGATION

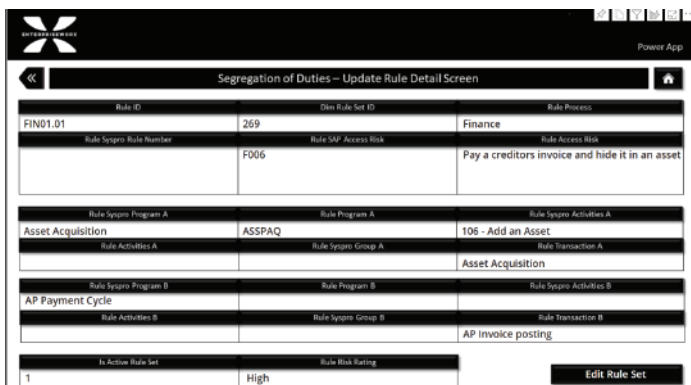


DECISION TREE
 Drill down into more details through the assistance of a decision tree. View the Total Violations from total documents to the violation at operation location.

MANAGE YOUR SEGREGATION OF DUTIES



Prevent one person from having both access to assets and responsibility for maintaining the accountability of your assets.



With the insight into your segregation of duties you are now able to update a rule set, mitigate a rule and amend the mitigations.

Reducing the risks through the segregation of duties, it is also important to keep a process efficient by not expanding the separation of duties to too many role players.

SEGREGATION OF DUTIES ROADMAP

From the moment you give access to the moment your remove access

